

Capital Securities Corporation (Ticker: 6005)

2020 Annual Meeting of Shareholders

Date/Time: June 22, 2020 (Monday) 9:00 am

Location: No.75, Changxing St., Taipei City (S. C. Tsiang Memorial Hall, Chung-Hua Institution for Economic Research), Taiwan

Agenda

1. Report Items

- (1) 2019 Business Report
- (2) 2019 The Audit Committee's Review Report
- (3) 2019 Distribution of Employees' and Board Directors' Remuneration
- (4) The Company's "Corporate Social Responsibility Activities Program"
- (5) Revision of the Company's "Integrity Code of Conduct"
- (6) Revision of the Company's "Operational Procedures and Guidelines of Ethical Corporate Management Best Practice"
- (7) Implementation Status of Buy-back of the Company's Shares for the Eleventh Time
- (8) Others

2. Matters for Ratification

- (1) The Company's 2019 Business Report and Financial Statements
- (2) The proposal for distribution of 2019 profits: setting aside NTD1,736,726,478 from 2019 undistributed earnings to distribute the proposed cash dividend of NTD0.8 per share. The calculation of each shareholder's cash dividend is rounding down to whole NT dollar; the aggregate of fractional amount will be recognized as the Company's other income. After the resolution of the dividend distribution is made in the shareholders' meeting, matters such as the ex-dividend date and the distribution date will be further decided in the board meeting.

3. Matters for Discussion

- (1) Discussion for Amendments to the Company's "Procedures for the Acquisition or Disposal of Assets"
- (2) Discussion for Amendments to the Company's "Rules and Procedure for Shareholders' Meetings"

4. Extemporaneous Motions

(Disclaimer: The explanations above are only a summary of the key proposed amendments and are provided for reference, and is not intended to be a complete list of the proposed changes in wording or meaning. For a more detailed description, please refer to the Shareholder Meeting Handbook.)